# ATENT COOPERATION TREAT 1.



Mike Wood RECEIVED

## From the INTERNATIONAL SEARCHING AUTHORITY

JUN 0 3 2003

E.I. DU PONT DE NEMOURS AND COMPANY

Legal Patent Records Center 4417 Lancester Pike Wilmington, DE 19805

UNITED STATES OF AMERICA

JWS

PATENT RECORDS

PATENT RECORDS

PATENT RECORDS

PATENT RECORDS OR THE DECLARATION JUN - 5 2003

JMS

(PCT Rule 44.1)

JUN 05 200<u>3</u>

Date of mailing (day/month/year)

28/05/2003

Applicant's or agent's file reference

PRO032PCT

International application No.

PCT/US 03/03432

FOR FURTHER ACTION

See paragraphs 1 and 4 below

International filing date

(day/month/year)

04/02/2003

Applicant

# E.I. DU PONT DE NEMOURS AND COMPANY

1. [	Ţ [X	The appli	icant is hereby no	otified that the International Search Report has been established and is transmitted herewith.		
	-	Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46):				
	'	When? The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet.				
	•	Where?	Directly to the	International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Fascimile No.: (41–22) 740.14.35		
	1	For more	e detailed instru	actions, see the notes on the accompanying sheet.		
2. [		The appl Article 17	licant is hereby n 7(2)(a) to that effo	otified that no International Search Report will be established and that the declaration under ect is transmitted herewith.		
3. [	٦	With reg	gard to the prote	est against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:		
•	_	the app	e protest together plicant's request	with the decision thereon has been transmitted to the International Bureau together with the to forward the texts of both the protest and the decision thereon to the designated Offices.		
		no no	decision has bee	en made yet on the protest; the applicant will be notified as soon as a decision is made.		
4.	Furth	ner actio	n(s): The appl	icant is reminded of the following:		
	If th	ne applica	ant wishes to avo	he priority date, the international application will be published by the International Bureau. id or postpone publication, a notice of withdrawal of the international application, or of the International Bureau as provided in Rules 90 <i>bis</i> .1 and 90 <i>bis</i> .3, respectively, before the reparations for international publication.		
	Withi wis	n 19 mo	nths from the pri- ostpone the entry	ority date, a demand for international preliminary examination must be filed if the applicant into the national phase until 30 months from the priority date (in some Offices even later).		
	bef	iore all de	esignated Offices	ority date, the applicant must perform the prescribed acts for entry Into the national phase which have not been elected in the demand or in a later election within 19 months from the elected because they are not bound by Chapter II.		

Name and mailing address of the International Searching Authority

European Patent Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

Authorized officer

Myriam Weber

REY NOTED

nendments under article 19. The

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

# INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international polication. Furthermore, it should be emphasized that provisional protection is available in some States only.

## What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been is filed, see below.

### How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

### What documents must/may accompany the amendments?

### Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

# The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]:
   "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers;
   claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]:
   "Claims 1 to 15 replaced by amended claims 1 to 11."
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
  - "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- 4. [Where various kinds of amendments are made]: "Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

#### "Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

### It must be in the language in which the international appplication is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

### Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

### Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide





(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	(Form PCT/ISA/2)	f Transmittal of International Search Report 20) as well as, where applicable, item 5 below.
PR0032PCT	ACTION	(Earliest) Priority Date (day/month/year)
International application No.	International filing date (day/month/year)	
PCT/US 03/03432	04/02/2003	06/02/2002
Applicant		
	ND COMPANY	
E.I. DU PONT DE NEMOURS A	ND COMPANY	
This International Search Report has bee according to Article 18. A copy is being to	n prepared by this International Searching Autl ansmitted to the International Bureau.	nonty and is transmitted to the applicant
describing to the same property		
This International Search Report consists	of a total of sheets.	
It is also accompanied by	a copy of each prior art document cited in this	report.
1. Basis of the report		
a With regard to the language, the	international search was carried out on the ba	sis of the international application in the
language in which it was filed, un	less otherwise indicated under this item.	
the international search value (Rule 23.1(b)).	vas carried out on the basis of a translation of t	the international application furnished to this
b With regard to any nucleotide at	nd/or amino acid sequence disclosed in the in	nternational application, the international search
was carried out on the basis of the	ne sequence listing: onal application in written form.	
1	ernational application in computer readable for	m.
	o this Authority in written form.	
1	o this Authority in computer readble form.	
the statement that the su	ibsequently furnished written sequence listing of as filed has been furnished.	does not go beyond the disclosure in the
		is identical to the written sequence listing has been
furnished		
2. Certain claims were for	und unsearchable (See Box I).	
3. Unity of invention is la	cking (see Box II).	
A NEGAL accord to the state		
4. With regard to the <b>title</b> ,  The text is approved as s	submitted by the applicant.	
	ished by this Authority to read as follows:	
The text has been combined	ionod by time reasons, is route as reasons.	
5. With regard to the abstract,		
the text is approved as	submitted by the applicant.	riby as it appears in Roy III. The applicant may
the text has been estable within one month from the	ished, according to Rule 38.2(b), by this Autho ne date of maillng of this international search re	eport, submit comments to this Authority.
6. The figure of the drawings to be pu	blished with the abstract is Figure No.	<del></del>
as suggested by the ap	olicant.	X None of the figures.
	ailed to suggest a figure.	
because this figure bette	er characterizes the invention.	

### INT RNATIONAL SEARCH REPORT

nternational Application No

03/03432

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 B41M5/38 B41M5/40

G03F3/10

G02B5/20

According to International Patent Classification (IPC) or to both national classification and IPC

#### **B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

B41M G03F IPC 7 GO2B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, EPO-Internal, PAJ, CHEM ABS Data, PAPERCHEM, PIRA

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 759 738 A (S.TSUNO ET AL.) 2 June 1998 (1998-06-02) column 1, line 6 - line 13 column 12, line 55 - line 65 column 13, line 21 - line 36 column 14, line 36 - line 41 column 15, line 25 - line 55 column 16, line 25 - line 42 column 18, line 61 -column 19, line 9 column 19, line 39 - line 58 figure 6	1-22

X Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
<ul> <li>Special categories of cited documents:</li> <li>"A" document defining the general state of the art which is not considered to be of particular relevance</li> <li>"E" earlier document but published on or after the international filing date</li> <li>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>"O" document referring to an oral disclosure, use, exhibition or other means</li> <li>"P" document published prior to the international filing date but later than the priority date claimed</li> </ul>	<ul> <li>'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>'&amp;' document member of the same patent family</li> </ul>
Date of the actual completion of the international search	Date of mailing of the international search report
<sup>1</sup> 9 May 2003	28/05/2003
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk	Authorized officer
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Bacon, A

1

# INT INATIONAL SEARCH REPORT

nternational Application No PC 03/03432

		10, 03, 03432
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Category °		
X	US 5 629 129 A (M.YAMAMOTO ET AL.) 13 May 1997 (1997-05-13) column 1, line 6 - line 10 column 4, line 13 -column 5, line 31 column 11, line 17 - line 47 column 21, line 18 - line 33 column 21, line 62 -column 22, line 24 claims 1,3,7,8,11; tables 5,6	1-22

1

# IN ?NATIONAL SEARCH REPORT

Internation on patent family members

nternational Application No

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 5759738	A	02-06-1998	JP	9011651 A	14-01-1997
US 5629129	Α	13-05-1997	JP JP DE DE EP	8112969 A 8104063 A 69517881 D1 69517881 T2 0696518 A1	07-05-1996 23-04-1996 17-08-2000 01-03-2001 14-02-1996